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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/821,556	03/29/2001	Terry L. Kendall	42390P10073	5873

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EXAMINER

CONNOLLY, MARK A

ART UNIT	PAPER NUMBER
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2115

DATE MAILED: 04/14/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

09/821,556

Applicant(s)

KENDALL, TERRY L.

Examiner

Mark Connolly

Art Unit

2115

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 29 March 2001.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-29 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-29 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 29 March 2001 is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
 - ☐ Certified copies of the priority documents have been received in Application No. _____.
 - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date _____
- 4) ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: _____

DETAILED ACTION

Claim Objections

1. Claim 17 is objected to because of the following informalities: The applicant claims a second register as being a volatile register as well as it being a flash memory as seen in claim 11. Because flash memories are well known as being non-volatile memories, it is unclear how the second register could be a volatile register. For examining purposes, the second register in claim 17 is interpreted as a non-volatile register. Appropriate correction is required.

Claim Rejections - 35 USC § 103

2. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

3. Claims 1-10 and 21-29 are rejected under 35 U.S.C. 103(a) as being unpatentable over James et al [James] US Pat No 6647512.

4. Referring to claim 1, James teaches the invention substantially including:

a. a first register to store default configuration data [col. 1 lines 17-20 and col. 6 lines 35-38].

b. a second register coupled to the first register to store active configuration data [col. 5 lines 18-22]. The system configuration parameters stored in CMOS are interpreted as active configuration data.

c. an input circuit coupled to the second register [col. 5 lines 23-31]. Although James explicitly teaches that software is responsible for changing the system

configuration parameters, it is inherent that there exists some circuitry to execute the software and to write the modified system configuration parameters into the CMOS.

Although James does not explicitly teach a control logic coupled to the first register, the second register and the input circuit to load the second register with data selected from either the default configuration data from the first register or input data from the input circuit, James does explicitly teach that the CMOS can have user modified configuration parameters or default configuration parameters loaded into the CMOS as shown above. It is interpreted by the examiner that it is obvious there must exist a control logic coupled to the first and second registers and the input circuit in order to coordinate the writing of the configuration parameters into the second register.

5. Referring to claim 2, James teaches a reset logic to select between loading the second register with the default configuration data and retaining a previous content of the second register in the second register [Abstract and col. 6 lines 35-38]. Unless the default configuration parameters are loaded into the CMOS, the previous configuration parameters will be retained in the CMOS.

6. Referring to claim 3, the “pressing and holding of the power button for 4 seconds while the computer is in a POST state ... to automatically restore backup settings to CMOS” as taught by James is interpreted as generating a reset signal to load default configuration data into the second register [col. 6 lines 35-39]. It is further interpreted that the signal is transmitted through a reset line.

7. Referring to claim 4, the reset line above is interpreted as a power-up reset line since the reset signal is generated during a POST state which occurs during power-up [col. 6 lines 35-39].

Art Unit: 2115

8. Referring to claims 5 and 6, these are rejected on the same basis as set forth hereinabove. More specifically, if the reset signal is generated the default configuration parameters will be loaded into CMOS while the lack of a reset signal being generated will cause the CMOS to retain its current configuration parameters.

9. Referring to claim 7, it is interpreted by the examiner that the configuration parameters generated within the setup program are initially generated and temporarily stored apart from the second register until it is time for the second registers configuration parameters to be overwritten. It is further interpreted by the examiner that the newly generated configuration parameters are sent to the input circuit through a data bus so that the input circuit can then write the new parameter values into the second register.

10. Referring to claim 8, James teaches that the first register is a non-volatile register [col. 1 lines 17-20].

11. Referring to claim 9, James teaches that the second register is a volatile memory [col. 1 lines 33-40]. Volatile memories cannot retain their contents without power and James teaches that the CMOS is coupled to a battery to preserve its contents.

12. Referring to claim 10, James teaches that the first and second configurations are different because the contents of the CMOS are altered when the default configuration parameters are loaded into the CMOS [col. 5 lines 31-37 and col. 5 line 55 – col. 6 line 5].

13. Referring to claims 21-29, these are rejected on the same basis as set forth hereinabove. James teaches the apparatus and therefore teaches the method performed by the apparatus.

Art Unit: 2115

14. Claims 11-20 are rejected under 35 U.S.C. 103(a) as being unpatentable over James as applied to claims 1-10 and 21-29 above, and further in view of Schmidt et al [Schmidt] US Pat No 6038689.

15. Referring to claim 11, this is rejected on the same basis as set forth hereinabove with the exception that James does not explicitly teach that the first and second registers, input circuit and control logic are included in a flash memory. Rather James teaches that the first and second registers are apart from each other in that the second register is a CMOS and the first register is some non-volatile memory. There is no suggestion that the two exist within a flash memory.

Schmidt explicitly teaches that a CMOS memory can be replaced with a flash memory [col. 3 lines 30-35]. It would have been obvious to one of ordinary skill in the art at the time of the invention to first, replace the CMOS memory taught in James with a flash memory because a flash memory does not require the use of a battery in order to preserve its contents thus eliminating the need for the CMOS battery which would free up extra space in the system. In addition, power consumption of the system would be reduced by not having to consume battery power while the system is powered off in order to preserve the configuration parameters. Secondly, it would have been obvious to include the first register in the flash memory since the first register is non-volatile and also to include the input circuit and control logic in the flash memory as well. Since all these components work closely together as one system in order to input, save and restore configuration data, by integrating all these components into a single flash memory, the number of separate components could be reduced thus reducing the cost, size and complexity of the James-Schmidt system.

16. Referring to claims, 12-20, these are rejected on the same basis as set forth hereinabove.

Conclusion


17. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Mark Connolly whose telephone number is (703) 305-7849. The examiner can normally be reached on M-F 8AM-5PM (except every first Friday).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Thomas C Lee can be reached on (703) 305-9717. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Mark Connolly
Examiner
Art Unit 2115

mc
March 26, 2004


THOMAS LEE
SUPERVISORY PATENT EXAMINER
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